



M FRANCIS & ASSOCIATES
Company Secretary

Old .No.72, New.No.13, 99th Street,
15th Sector, K.K.Nagar, Chennai - 600 078.

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ISIN: INE429I01024

**Secretarial compliance report of CONSOLIDATED CONSTRUCTION CONSORTIUM
LIMITED for the year Ended 31ST March 2019**

We **M. FRANCIS & ASSOCIATES, Practicing Company Secretaries** have examined:

- a) all the documents and records made available to us and explanation provided by **CONSOLIDATED CONSTRUCTION CONSORTIUM LIMITED (CIN: L45201TN1997PLC038610)** having its Registered office at **No.5, Second Link Street, C.I.T Colony, Mylapore, Chennai- 600004**
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity, (website address: **www.ccclindia.com**)
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **31ST March 2019** in respect of compliance with the provisions of :
 - a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
 - b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 & Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (Not applicable during the year under review);



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- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998 & Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not applicable during the year under review);
- e) Securities Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and Securities Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999; (Not applicable during the year under review);
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 (Not applicable during the year under review);
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/guidelines issued there under;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued there under:-

Sr. No	Compliance Requirement (Regulations/circulars/ guidelines including specific clauses	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 17(1) SEBI (LODR) Regulations, 2015	Non-compliance with Board composition requirement	Complied only on December 03, 2018 and further fine amount to both NSE and BSE were not paid for the said non-compliance within the prescribed time.



(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. Fines, warning letter, debarment etc.	Observations/remarks of the Practicing Company Secretary, if any.
1	NSE and BSE	Non-Compliance under Regulation 17(1) SEBI (LODR) Regulations, 2015	Fines levied by both NSE and BSE of Rs9,14,500/- to each exchange and subsequent to the non-payment of fine the exchange has frozen the entire shareholding of promoters in the Company as well as in other securities. The trading in securities of the Company has also been suspended wef from April 09, 2019.	The Company has complied with the Regulation on December 03, 2018 by appointing two Independent Directors including a Women Independent Director. However the fines are paid to both exchanges as on date. The Company has applied for revocation of suspension with both the Exchanges.



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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Nil				

FOR M. FRANCIS & ASSOCIATES
PRACTICING COMPANY SECRETARIES



M. Francis

M.FRANCIS

MEMBERSHIP NO.39610

COP.NO.14967

PLACE : CHENNAI

DATE : 27TH MAY, 2019